JUDGE NATHAN

JS 44C/SDNY
REV. 5/2010

CIVIL COVER SHEET 12 CIV 1268

The JS-44 civil cover sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for use of the Clerk of Court for the purpose of initiating the civil docket sheet.

RECEIVED
2012 FEB 17 P 5:15
U.S. DISTRICT COURT SDNY

PLAINTIFFS	DEFENDANTS
PARAMOUNT PICTURES CORPORATION	ANTHONY PUZO, AS EXECUTOR OF THE ESTATE OF MARIO PUZO
ATTORNEYS (FIRM NAME, ADDRESS, AND TELEPHONE NUMBER	ATTORNEYS (IF KNOWN)
Gage Spencer & Fleming LLP 410 Park Avenue, 9th Floor New York, NY 10022	Greenberg Glusker Fields Claman & Machtinger LLP 1900 Avenue of the Stars, 21st Floor Los Angeles, CA 90067

FEB 17 2012

CAUSE OF ACTION (CITE THE U.S. CIVIL STATUTE UNDER WHICH YOU ARE FILING AND WRITE A BRIEF STATEMENT OF CAUSE)
(DO NOT CITE JURISDICTIONAL STATUTES UNLESS DIVERSITY)

17 U.S.C. secs. 101 et seq. (copyright infrgmnt); 15 U.S.C. secs 1114 and 1125 (trademark infrgmnt and false designat'n)

Has this or a similar case been previously filed in SDNY at any time? No? [✓] Yes? [] Judge Previously Assigned

If yes, was this case Vol.[] Invol. [] Dismissed. No[] Yes [] If yes, give date _____ & Case No. _____

(PLACE AN [x] IN ONE BOX ONLY) NATURE OF SUIT

CONTRACT
- [] 110 INSURANCE
- [] 120 MARINE
- [] 130 MILLER ACT
- [] 140 NEGOTIABLE INSTRUMENT
- [] 150 RECOVERY OF OVERPAYMENT & ENFORCEMENT OF JUDGMENT
- [] 151 MEDICARE ACT
- [] 152 RECOVERY OF DEFAULTED STUDENT LOANS (EXCL VETERANS)
- [] 153 RECOVERY OF OVERPAYMENT OF VETERAN'S BENEFITS
- [] 160 STOCKHOLDERS SUITS
- [] 190 OTHER CONTRACT
- [] 195 CONTRACT PRODUCT LIABILITY
- [] 196 FRANCHISE

REAL PROPERTY
- [] 210 LAND CONDEMNATION
- [] 220 FORECLOSURE
- [] 230 RENT LEASE & EJECTMENT
- [] 240 TORTS TO LAND
- [] 245 TORT PRODUCT LIABILITY
- [] 290 ALL OTHER REAL PROPERTY

TORTS

PERSONAL INJURY
- [] 310 AIRPLANE
- [] 315 AIRPLANE PRODUCT LIABILITY
- [] 320 ASSAULT, LIBEL & SLANDER
- [] 330 FEDERAL EMPLOYERS' LIABILITY
- [] 340 MARINE
- [] 345 MARINE PRODUCT LIABILITY
- [] 350 MOTOR VEHICLE
- [] 355 MOTOR VEHICLE PRODUCT LIABILITY
- [] 360 OTHER PERSONAL INJURY

PERSONAL INJURY
- [] 362 PERSONAL INJURY - MED MALPRACTICE
- [] 365 PERSONAL INJURY PRODUCT LIABILITY
- [] 368 ASBESTOS PERSONAL INJURY PRODUCT LIABILITY

PERSONAL PROPERTY
- [] 370 OTHER FRAUD
- [] 371 TRUTH IN LENDING
- [] 380 OTHER PERSONAL PROPERTY DAMAGE
- [] 385 PROPERTY DAMAGE PRODUCT LIABILITY

ACTIONS UNDER STATUTES

CIVIL RIGHTS
- [] 441 VOTING
- [] 442 EMPLOYMENT
- [] 443 HOUSING/ ACCOMMODATIONS
- [] 444 WELFARE
- [] 445 AMERICANS WITH DISABILITIES - EMPLOYMENT
- [] 446 AMERICANS WITH DISABILITIES -OTHER
- [] 440 OTHER CIVIL RIGHTS

PRISONER PETITIONS
- [] 510 MOTIONS TO VACATE SENTENCE 20 USC 2255
- [] 530 HABEAS CORPUS
- [] 535 DEATH PENALTY
- [] 540 MANDAMUS & OTHER
- [] 550 CIVIL RIGHTS
- [] 555 PRISON CONDITION

FORFEITURE/PENALTY
- [] 610 AGRICULTURE
- [] 620 OTHER FOOD & DRUG
- [] 625 DRUG RELATED SEIZURE OF PROPERTY 21 USC 881
- [] 630 LIQUOR LAWS
- [] 640 RR & TRUCK
- [] 650 AIRLINE REGS
- [] 660 OCCUPATIONAL SAFETY/HEALTH
- [] 690 OTHER

LABOR
- [] 710 FAIR LABOR STANDARDS ACT
- [] 720 LABOR/MGMT RELATIONS
- [] 730 LABOR/MGMT REPORTING & DISCLOSURE ACT
- [] 740 RAILWAY LABOR ACT
- [] 790 OTHER LABOR LITIGATION
- [] 791 EMPL RET INC SECURITY ACT

IMMIGRATION
- [] 462 NATURALIZATION APPLICATION
- [] 463 HABEAS CORPUS-ALIEN DETAINEE
- [] 465 OTHER IMMIGRATION ACTIONS

ACTIONS UNDER STATUTES

BANKRUPTCY
- [] 422 APPEAL 28 USC 158
- [] 423 WITHDRAWAL 28 USC 157

PROPERTY RIGHTS
- [] 820 COPYRIGHTS
- [] 830 PATENT
- [X] 840 TRADEMARK

SOCIAL SECURITY
- [] 861 HIA (1395ff)
- [] 862 BLACK LUNG (923)
- [] 863 DIWC/DIWW (405(g))
- [] 864 SSID TITLE XVI
- [] 865 RSI (405(g))

FEDERAL TAX SUITS
- [] 870 TAXES (U.S. Plaintiff or Defendant)
- [] 871 IRS-THIRD PARTY 26 USC 7609

OTHER STATUTES
- [] 400 STATE REAPPORTIONMENT
- [] 410 ANTITRUST
- [] 430 BANKS & BANKING
- [] 450 COMMERCE
- [] 460 DEPORTATION
- [] 470 RACKETEER INFLUENCED & CORRUPT ORGANIZATION ACT (RICO)
- [] 480 CONSUMER CREDIT
- [] 490 CABLE/SATELLITE TV
- [] 810 SELECTIVE SERVICE
- [] 850 SECURITIES/ COMMODITIES/ EXCHANGE
- [] 875 CUSTOMER CHALLENGE 12 USC 3410
- [] 890 OTHER STATUTORY ACTIONS
- [] 891 AGRICULTURAL ACTS
- [] 892 ECONOMIC STABILIZATION ACT
- [] 893 ENVIRONMENTAL MATTERS
- [] 894 ENERGY ALLOCATION ACT
- [] 895 FREEDOM OF INFORMATION ACT
- [] 900 APPEAL OF FEE DETERMINATION UNDER EQUAL ACCESS TO JUSTICE
- [] 950 CONSTITUTIONALITY OF STATE STATUTES

Check if demanded in complaint:

[] CHECK IF THIS IS A CLASS ACTION UNDER F.R.C.P. 23

DO YOU CLAIM THIS CASE IS RELATED TO A CIVIL CASE NOW PENDING IN S.D.N.Y.? IF SO, STATE:

DEMAND $_____ OTHER _____ JUDGE _____ DOCKET NUMBER_____

Check YES only if demanded in complaint
JURY DEMAND: [] YES [✓] NO

NOTE: Please submit at the time of filing an explanation of why cases are deemed related.

(PLACE AN x IN ONE BOX ONLY)

ORIGIN

☑ 1 Original Proceeding ☐ 2a. Removed from State Court ☐ 3 Remanded from Appellate Court ☐ 4 Reinstated or Reopened ☐ 5 Transferred from (Specify District) ☐ 6 Multidistrict Litigation ☐ 7 Appeal to District Judge from Magistrate Judge Judgment

☐ 2b. Removed from State Court AND at least one party is pro se.

(PLACE AN x IN ONE BOX ONLY)

BASIS OF JURISDICTION

☐ 1 U.S. PLAINTIFF ☐ 2 U.S. DEFENDANT ☑ 3 FEDERAL QUESTION (U.S. NOT A PARTY) ☐ 4 DIVERSITY

IF DIVERSITY, INDICATE CITIZENSHIP BELOW. (28 USC 1322, 1441)

CITIZENSHIP OF PRINCIPAL PARTIES (FOR DIVERSITY CASES ONLY)

(Place an [X] in one box for Plaintiff and one box for Defendant)

	PTF	DEF		PTF	DEF		PTF	DEF
CITIZEN OF THIS STATE	[]1	[]1	CITIZEN OR SUBJECT OF A FOREIGN COUNTRY	[]3	[]3	INCORPORATED and PRINCIPAL PLACE OF BUSINESS IN ANOTHER STATE	[]5	[]5
CITIZEN OF ANOTHER STATE	[]2	[]2	INCORPORATED or PRINCIPAL PLACE OF BUSINESS IN THIS STATE	[]4	[]4	FOREIGN NATION	[]6	[]6

PLAINTIFF(S) ADDRESS(ES) AND COUNTY(IES)

Paramount Pictures Corporation (Los Angeles, California)

DEFENDANT(S) ADDRESS(ES) AND COUNTY(IES)

Anthony Puzo, as representative of Estate of Mario Puzo (New York, New York)

DEFENDANT(S) ADDRESS UNKNOWN

REPRESENTATION IS HEREBY MADE THAT, AT THIS TIME, I HAVE BEEN UNABLE, WITH REASONABLE DILIGENCE, TO ASCERTAIN THE RESIDENCE ADDRESSES OF THE FOLLOWING DEFENDANTS:

Check one: THIS ACTION SHOULD BE ASSIGNED TO: ☐ WHITE PLAINS ☑ MANHATTAN

(DO NOT check either box if this a PRISONER PETITION.)

DATE 2/17/12 SIGNATURE OF ATTORNEY OF RECORD

ADMITTED TO PRACTICE IN THIS DISTRICT

[] NO

[X] YES (DATE ADMITTED Mo. _____ Yr. 1993)

Attorney Bar Code # 0411

RECEIPT #

Magistrate Judge is to be designated by the Clerk of the Court.

Magistrate Judge MAG. JUDGE PECK is so Designated.

Ruby J. Krajick, Clerk of Court by _____ Deputy Clerk, DATED _____.

UNITED STATES DISTRICT COURT (NEW YORK SOUTHERN)

JUDGE NATHAN

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK
----------X

PARAMOUNT PICTURES CORPORATION,

Plaintiff,

- against -

ANTHONY PUZO, AS EXECUTOR OF THE ESTATE OF MARIO PUZO,

Defendant.

----------X

RECEIVED
2012 FEB 17 P 5:13
U.S. DISTRICT COURT SDNY

12 CIV 1268

Civil Action No.: _____

COMPLAINT

U. S. DISTRICT COURT
FILED
FEB 17 2012
S. D. OF N. Y.

NATURE OF THIS ACTION

1. This civil action is based on, and seeks injunctive relief and damages to redress, ongoing and prospective violations of the federal Copyright Act, 17 U.S.C. § 101 et seq., and of the federal trademark laws, 15 U.S.C. § 1114 and 1125(a). It also seeks to protect the integrity and reputation of *The Godfather* trilogy, one of the most acclaimed and beloved artistic works of the past 50 years.

2. In 1969, plaintiff Paramount Pictures Corporation ("Paramount") purchased from the now-deceased author Mario Puzo ("Puzo") the copyright interest in Puzo's novel *The Godfather*. By written agreements executed on November 28, 1969, Puzo granted to Paramount, among other rights, "any and all copyrights therein and all rights now known or hereafter accruing therein and thereto, forever and throughout the world, together with the sole and exclusive right to use said work, in whole or in part, in whatever manner the Purchaser [i.e., Paramount] may desire, including, but not limited to, the sole and exclusive right: to make and cause to be made literary and dramatic and other versions and adaptations of every kind and character of said work or any part or parts thereof and/or any or all of the characters created

therein . . . [and] to adapt, arrange, change, interpolate in, transpose, add to and subtract from said work to such extent as the Purchaser, in its sole discretion, may desire in connection with any use which the Purchaser may make of said work."

3. After acquiring the aforementioned rights, Paramount adapted *The Godfather* novel into three highly-acclaimed motion pictures, directed by Francis Ford Coppola.

4. Puzo died in 1999. After his death, the Puzo Estate expressed interest in publishing additional *Godfather* "sequel novels," to be written by new authors.

5. In 2002, in an attempt to accommodate the Puzo Estate while preserving the integrity of Paramount's *Godfather* franchise, Paramount and the Puzo Estate entered into a Memorandum of Agreement, under which the parties acknowledged that Paramount had no objection to the Puzo estate granting Random House the right to publish one (but only one) "Sequel Novel" to *The Godfather*. The Memorandum of Agreement also stated that "The Estate contends that it shall have the right to publish subsequent novels after the Sequel Novel without the consent of [Paramount] and [Paramount] contends to the contrary. Both parties reserve their rights and contentions with respect to subsequent sequels. Notwithstanding the foregoing, the Estate acknowledges that [Paramount] is the sole and exclusive owner of all motion picture, television, and allied rights in and to the Novel [i.e., *The Godfather*] and the Sequel Novel." A first sequel novel, entitled *The Godfather Returns,* was published in 2004 pursuant to said Memorandum of Agreement.

6. In 2006, without Paramount's knowledge or authorization, the Puzo Estate published a second sequel novel, entitled *The Godfather's Revenge,* which received mediocre reviews and suffered weak sales. Far from properly honoring the legacy of *The Godfather*, the unauthorized *The Godfather's Revenge* tarnished it, and in the process, also misled consumers

into believing that *The Godfather's Revenge* was authorized by Paramount, or otherwise affiliated with or connected to *The Godfather* and Paramount's *Godfather* franchise.

7. The Puzo Estate has now publicly announced, and has informed Paramount, that in 2012 it intends to publish without Paramount's authorization a third sequel novel, entitled *The Family Corleone*.

8. Paramount respects and treasures the enormous contribution of the late Mario Puzo to a story and film franchise that is admired and loved throughout the world. But the parties' 1969 agreements expressly state that the sole and exclusive rights to create and distribute derivative works based on *The Godfather* novel, including "literary ... versions and adaptations," are owned by Paramount and not by the Puzo Estate. The 2002 Memorandum of Agreement permitted the Puzo Estate to publish only one sequel. The publication of the additional unauthorized sequel entitled *The Family Corleone* will be a violation of Paramount's rights under the Copyright Act, and a threat to the legacy and integrity of the *Godfather* franchise.

9. Paramount is also the owner of the registered trademark in the world-famous *Godfather* logo and related registered and common-law marks (collectively, the "Godfather Marks") as set forth in Exhibit "5" hereto and incorporated herein by this reference. The Godfather Marks have a distinctive look and font that have been continually used to promote the *Godfather* franchise for nearly forty years. Paramount has invested substantial time and resources in establishing (and enforcing) exclusive rights in the Godfather Marks for a range of goods and services, including without limitation the films themselves, entertainment services, video games and software, art prints, and apparel. As a result of Paramount's efforts, the Godfather Marks have become famous marks of incalculable value.

10. The Puzo Estate, without Paramount's permission, used the Godfather Marks on the covers of the first and second sequel novels. The Puzo Estate also used the Godfather Marks

in connection with advertising, marketing, and promotional material related to the first and second sequel novels. On information and belief, the Puzo Estate intends to use the Godfather Marks in connection with the advertising, marketing, and promotion of the third sequel novel. The Puzo Estate is, on information and belief, aware of Paramount's ownership of the Godfather Marks. Yet it did not seek, and has not sought, permission from Paramount before using Paramount's protected trademark interests for commercial gain.

11. Accordingly, Paramount brings this action for damages, injunctive, and declaratory relief against the Puzo Estate in connection with its ongoing infringement of Paramount's copyright and trademark interests.

PARTIES

12. Plaintiff Paramount Pictures Corporation is a corporation duly organized and existing under the laws of the State of Delaware, with its principal place of business in Los Angeles, California.

13. Defendant Anthony Puzo is the son of Mario Puzo and is the duly appointed representative of the Estate of Mario Puzo (the "Puzo Estate"). Defendant is a resident of New York.

JURISDICTION AND VENUE

14. This Court has subject matter jurisdiction over Paramount's claims under 28 U.S.C. § 1331 and 1338, and 15 U.S.C. § 1121, in that these copyright and trademark claims arise under the laws of the United States. This Court has personal jurisdiction over Defendant because he is a resident of the State of New York, and because the Puzo Estate has committed and has announced plans to commit numerous infringing acts in the State of New York and this District.

15. Venue in this Court is proper pursuant to 28 U.S.C. § 1391 and 1400(a), in that, on information and belief, Defendant may be found in this District and a substantial part of the events or giving rise to the claim occurred and are occurring in this District.

FIRST CAUSE OF ACTION FOR FEDERAL COPYRIGHT INFRINGEMENT

16. Paramount realleges and incorporates by reference each of the foregoing paragraphs as though fully set forth herein.

17. Paramount owns the copyright, and all rights, title, and interest thereto, in the novel *The Godfather*, including the right to create derivative works thereto. The 1969 agreements between Paramount and Puzo reserved to Paramount all rights in *The Godfather* novel except the publication rights in that original novel. Puzo did not reserve any rights to publish a sequel to *The Godfather*. Thus, after execution of the 1969 agreements, Puzo retained no rights of any kind in *the Godfather* novel except for the right to publish that original novel in book form.

18. In its 2002 Memorandum of Agreement with the Puzo Estate, Paramount agreed not to object to the Puzo Estate's publication of a single sequel novel. However, the Memorandum of Agreement did not grant the Puzo Estate the right to publish subsequent sequels or to create any other derivative works based upon *The Godfather*.

19. After the execution of the 2002 Memorandum of Agreement, among its other rights, Paramount continued to own all rights in and to *The Godfather* novel except for (a) Puzo's reserved right to publish the original novel and (b) the Puzo Estate's right to publish a single Paramount-authorized sequel novel.

20. In addition to its copyright in the novel *The Godfather*, Paramount also owns the entire copyright in the films *The Godfather*, *The Godfather Part II*, and *The Godfather Part III*.

Collectively, Paramount's rights in the novel *The Godfather* and the three *The Godfather* films are referred to herein as the "Godfather Works."

21. The Godfather Works are original works of authorship owned by Paramount and are copyrightable subject matter under the laws of the United States.

22. Paramount is the owner of registered copyrights for each of the Godfather Works. Copies of the relevant registrations are attached to this complaint as Exhibits 1 through 4.

23. The Puzo Estate has infringed upon, has continued to infringe upon, and has declared its intention to further infringe upon Paramount's copyright interests in the Godfather Works, by publishing the second sequel novel *The Godfather's Revenge* and by announcing its intention to publish *The Family Corleone*.

24. The Puzo Estate had access to at all relevant times, and did access, each of the Godfather Works.

25. The Puzo Estate has infringed upon, and has announced its intention to continue to infringe upon, Paramount's copyright interest in the Godfather Works through the unauthorized and unlawful creation of derivative works substantially similar to the Godfather Works, violating Paramount's exclusive right to create derivative works granted under 17 U.S.C. § 106(2).

26. Paramount is informed and believes and on that basis alleges that the Puzo Estate had full knowledge that its acts were and are wrongful and unlawful. Nonetheless, the Puzo Estate has continued to infringe on Paramount's copyrights, throughout the United States, even after Paramount informed the Puzo Estate by letter and other correspondence that its actions constituted wrongful infringement. Upon information and belief, the Puzo Estate's acts of copyright infringement were committed both with knowledge that their conduct constituted copyright infringement and for purposes of commercial advantage or private financial gain.

Thus, the Puzo Estate's copyright infringement was and is willful pursuant to 17 U.S.C. §§ 501 et seq.

27. By reason of the foregoing, Paramount has suffered damages in an amount to be determined at trial, and is entitled, at its election, to either (a) all damages suffered by Paramount, along with all gains, profits, and advantages derived by the Puzo Estate from its acts of infringement, plus exemplary or punitive damages in amounts to be proven at trial, (b) statutory damages as provided for in the Copyright Act. Paramount is also entitled to attorney's fees as available by law.

28. Paramount has suffered and will suffer irreparable harm as a result of the Puzo Estate's infringing conduct, and lacks an adequate remedy at law for the injury threatened by the Puzo Estate, in that Paramount will suffer intangible injuries, including the loss of customer goodwill, damage to consumer perceptions about the Godfather Works, the loss of control over its copyrighted works, and injury to Paramount's reputation with its licensees and its customers. Paramount is entitled under the Copyright Act to provisional and permanent relief enjoining the Puzo Estate's infringements.

SECOND CAUSE OF ACTION FOR TRADEMARK INFRINGEMENT (15 U.S.C. § 1114)

29. Paramount realleges and incorporates by reference each of the foregoing paragraphs as though fully set forth herein.

30. Paramount owns various United States trademark registrations that include the distinctive design of *The Godfather* trademark, including USPTO Reg. Nos. 1,674,068; 1,707,295; 3,159,811; 3,159,762; 3,432,881; 3,432,882; 3,437,926; and 3,628,838. Copies of the relevant trademark registrations are set forth on Exhibit 5 hereto. Paramount has also filed several trademark applications in connection with related marks, including USPTO Application

Nos. 85387110, 77769544, 77795896, and 77795903. Collectively, these registrations and applications are referred to herein as the "Godfather Marks."

31. The Puzo Estate's actions and planned actions in connection with the sale, offering for sale, distribution, and/or advertising of goods bearing the Godfather Marks in interstate commerce, without the consent of Paramount, constitutes infringement of the Godfather Marks and has caused or is likely to cause a likelihood of confusion, mistake, or deception.

32. Paramount has suffered damages as a result of the Puzo Estate's acts of infringement in an amount to be proven at trial. In addition, as a result of the Puzo Estate's acts of infringement, Paramount has suffered and, unless those acts are enjoined by the Court, will continue to suffer irreparable harm for which it has no adequate remedy at law.

33. The Puzo Estate's actions have been knowing, intentional, wanton, and willful, entitling Paramount to treble damages, statutory damages, attorneys' fees, and costs.

THIRD CAUSE OF ACTION FOR FALSE DESIGNATION OF ORIGIN (15 U.S.C. § 1125(a))

34. Paramount realleges and incorporates by reference each of the foregoing paragraphs as though fully set forth herein.

35. The Puzo Estate's actions and planned actions in connection with the sale, offering for sale, distribution, and/or advertising of goods bearing the Godfather Marks in interstate commerce, without the consent of Paramount, constitutes a false designation of origin and has caused or is likely to cause a likelihood of confusion, mistake, and deception as to source, sponsorship, affiliation, and/or connection in the minds of the public.

36. Paramount has suffered damages as a result of the Puzo Estate's acts of unfair competition in an amount to be proven at trial. In addition, as a result of the Puzo Estate's acts

of unfair competition, Paramount has suffered and, unless those acts are enjoined by the Court, will continue to suffer irreparable harm for which it has no adequate remedy at law.

37. The Puzo Estate's actions have been knowing, intentional, wanton, and willful, entitling Paramount to treble damages, statutory damages, attorneys' fees, and costs.

FOURTH CAUSE OF ACTION FOR DECLARATORY RELIEF

38. Paramount realleges and incorporates by reference each of the foregoing paragraphs as though fully set forth herein.

39. An actual controversy has arisen and now exists between Paramount, on the one hand, and the Puzo Estate, on the other, as to whether the Puzo Estate's publication of sequel novels to *The Godfather* infringes upon Paramount's copyright interests in the Godfather Works.

40. An actual controversy has also arisen has arisen and now exists between Paramount, on the one hand, and the Puzo Estate, on the other, as to whether the Puzo Estate's use of the Godfather Marks in connection with the promotion and marketing of *The Godfather's Revenge* and *The Family Corleone* constitutes trademark infringement and/or unfair competition and false designation of origin under the federal trademark laws.

41. By reason of the foregoing, Paramount is entitled to a declaration that the sequel works *The Godfather's Revenge* and *The Family Corleone* infringe upon Paramount's copyright interests in the Godfather Works.

42. Paramount is also entitled to a declaration that the Puzo Estate's unauthorized use of the Godfather Marks constitutes trademark infringement and/or unfair competition and false designation of origin under the federal trademark laws.

PRAYER FOR RELIEF

WHEREFORE, Plaintiff prays for judgment as follows:

i. A declaration that the Puzo Estate has infringed Paramount's copyright interests under 17 U.S.C. 501, and that the Puzo Estate has infringed Paramount's trademark interests under 15 U.S.C. §§ 1114 & 1125;

ii. A temporary restraining order;

iii. A preliminary and permanent injunction;

iv. Statutory and compensatory damages according to proof;

v. Punitive and exemplary damages for the willful, reckless, and wanton nature of the Puzo Estate's acts;

x. An award of costs, expenses, and attorney's fees;

xi. All further relief as is just and proper, in the determination of the Court.

Dated: New York, New York
February 17, 2012

GAGE SPENCER & FLEMING LLP

By: _____
G. Robert Gage, Jr. (GG-3951)
William B. Fleming (WF-0411)
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Fax: (310)556-270

Attorneys for Plaintiff Paramount Pictures Corporation

FORM A

Application for Registration of a Claim to Copyright
in a published book manufactured in the United States of America

CLASS	REGISTRATION NO.
A	A 58755
	DO NOT WRITE HERE

Instructions: Make sure that all applicable spaces have been completed before you submit the form. The application must be signed at line 10 and the **AFFIDAVIT** (line 11) **must be completed AND NOTARIZED.** The application should not be executed until after the date of publication given in line 6, and should state the facts which existed on that date. For further information, see page 4.

Pages 1 and 2 should be typewritten or printed with pen and ink. Pages 3 and 4 should contain exactly the same information as pages 1 and 2, but may be carbon copies. Mail all pages of the application to the Register of Copyrights, Library of Congress, Washington, D.C., 20540, together with 2 copies of the best edition of the work and the registration fee of $6. Make your remittance payable to the Register of Copyrights.

1 Copyright Claimant(s) and Address(es): Give the name(s) and address(es) of the copyright owner(s). Ordinarily the claimant should be the same as in the notice of copyright on the copies deposited.

Name: Mario Puzo

Address: 866 Manor Lane, Bayshore, Long Island, N. Y. 11706

2 Title: THE GODFATHER

(Give the title of the book as it appears on the title page)

3 Author: Citizenship and domicile information must be given. Where a work was made for hire, the employer is the author. The citizenship of organizations formed under U.S. statute or State law should be stated as U.S.A. Authors may be editors, compilers, translators, illustrators, etc., as well as authors of original text. If the copyright claim is based on new matter (see line 5) give requested information about the author of the new matter.

Name: Mario Puzo — Citizenship: U.S.A.

(Give legal name followed by pseudonym if latter appears on the copies) (Name of country)

Domiciled in U.S.A. Yes X No Address: see above

Name: Citizenship:

(Give legal name followed by pseudonym if latter appears on the copies) (Name of country)

Domiciled in U.S.A. Yes No Address:

Name: Citizenship:

(Give legal name followed by pseudonym if latter appears on the copies) (Name of country)

Domiciled in U.S.A. Yes No Address:

4 Date of Publication of This Edition: Give the complete date when copies of this particular edition were first placed on sale, sold, or publicly distributed. The date when copies were made or printed should not be confused with the date of publication. **(NOTE:** The full date (month, day, and year) must be given.) For further information, see page 4.

March 10, 1969

(Month) (Day) (Year)

(NOTE: Leave line 5 blank unless the following instructions apply to this work.)

5 New Matter in This Version: If any substantial part of this work has been previously published anywhere, give a brief, general statement of the nature of the new matter published for the first time in this version. New matter may consist of compilation, translation, abridgment, editorial revision, and the like, as well as additional text or pictorial matter.

NOTE: Leave line 6 blank unless there has been a PREVIOUS FOREIGN EDITION in the English language.

6 Book in English Previously Manufactured and Published Abroad: If all or a substantial part of the text of this edition was previously manufactured and published abroad in the English language, complete the following spaces:

Date of first publication of foreign edition (Year)

Was registration for the foreign edition made in the U.S. Copyright Office? Yes No

If your answer is "Yes," give registration number

EXAMINER

Complete all applicable spaces on next page

7. If registration fee is to be charged to a deposit account established in the Copyright Office, give name of account:

G. P. Putnam's Sons

8. Name and address of person or organization to whom correspondence or refund, if any, should be sent:

Name Phoebe McKay Address

9. Send certificate to:

(Type or print name and address)

Name: Miss Phoebe McKay
G. P. Putnam's Sons

Address: 200 Madison Avenue
(Number and street)

New York, New York 10016
(City) (State) (ZIP code)

10. **Certification:** (NOTE: Application not acceptable unless signed)

I CERTIFY that the statements made by me in this application are correct to the best of my knowledge.

[signature]
(Signature of copyright claimant or duly authorized agent)

11. **Affidavit (required by law.)** Instructions: (1) Fill in the blank spaces with special attention to those marked "(X)." (2) Sign the affidavit before an officer authorized to administer oaths within the United States, such as a notary public. (3) Have the officer sign and seal the affidavit and fill in the date of execution.

NOTE: The affidavit must be signed and notarized only *on or after* the date of publication or completion of printing which it states. The affidavit *must* be signed by an individual.

STATE OF New York
COUNTY OF New York } ss:

I, the undersigned, depose and say that I am the

- ☐ Person claiming copyright in the book described in this application;
- ☒ Duly authorized agent of the person or organization claiming copyright in the book described in this application;
- ☐ Printer of the book described in this application.

That the book was published or the printing was completed on: (X) March 10, 1969
(Give month, day, and year)

That of the various processes employed in the production of the copies deposited, the setting of the type was performed within the limits of the United States or the making of the plates was performed within the limits of the United States from type set therein; or the lithographic or photoengraving processes used in producing the text were wholly performed within the limits of the United States, and that the printing of the text and the binding (if any) were also performed within the limits of the United States. That such typesetting, platemaking, lithographic or photoengraving process, printing, and binding were performed by the following establishments or individuals at the following addresses:

(GIVE THE NAMES AND ADDRESSES OF THE PERSONS OR ORGANIZATIONS WHO PERFORMED SUCH TYPESETTING OR PLATEMAKING OR LITHOGRAPHIC PROCESS OR PHOTOENGRAVING PROCESS OR PRINTING AND BINDING, ETC.)

Names (X) American Book-Stratford Press, Inc. Addresses (X) New York, New York

[signature]
(Signature of affiant)

(Sign and notarize only on or after date given above)

PLACE NOTARIAL SEAL HERE

Subscribed and ~~sworn to~~ affirmed before me this 14

day of March, 1969

[signature]
(Signature of notary)

SEBASTIAN AIELLO
Notary Public, State of New York
No. 52-0042[illegible]
Qualified in [illegible]
Term Expires March [illegible]

FOR COPYRIGHT OFFICE USE ONLY

Application and affidavit received MAR 1969	
Two copies received MAR 24 1969	
Fee received	
Renewal RE 757-735	

U.S. GOVERNMENT PRINTING OFFICE: 1968 O—288-097

FORM RE

UNITED STATES COPYRIGHT OFFICE

REGISTRATION NUMBER
RE 757-735

EFFECTIVE DATE OF RENEWAL REGISTRATION
APR 2 2 1997
(Month) (Day) (Year)

DO NOT WRITE ABOVE THIS LINE. FOR COPYRIGHT OFFICE USE ONLY

1 Renewal Claimant(s)

RENEWAL CLAIMANT(S), ADDRESS(ES), AND STATEMENT OF CLAIM: (See Instructions)

1
Name: Mario Puzo
Address: c/o Donadio & Ashworth, Inc., 121 West 27th St., New York, N.Y. 10001
Claiming as: Author
(Use appropriate statement from instructions)

2
Name:
Address:
Claiming as:
(Use appropriate statement from instructions)

3
Name:
Address:
Claiming as:
(Use appropriate statement from instructions)

TITLE OF WORK IN WHICH RENEWAL IS CLAIMED:

THE GODFATHER

086841948

RENEWABLE MATTER:

Entire text

CONTRIBUTION TO PERIODICAL OR COMPOSITE WORK:

Title of periodical or composite work:

If a periodical or other serial, give: Vol. No. Issue Date

3 Author(s)

AUTHOR(S) OF RENEWABLE MATTER:

Mario Puzo

4 Facts of Original Registration

ORIGINAL REGISTRATION NUMBER:

A 58755

ORIGINAL COPYRIGHT CLAIMANT:

Mario Puzo
866 Manor Lane, Bayshore
Long Island, N.Y. 11706

ORIGINAL DATE OF COPYRIGHT:

• If the original registration for this work was made in published form, give:
DATE OF PUBLICATION: March (Month) 10 (Day) 1969 (Year)

or

• If the original registration for this work was made in unpublished form, give:
DATE OF REGISTRATION: (Month) (Day) (Year)

Certificate

Registration of a Claim to Copyright in a motion picture

FORM L-M

CLASSES L-M | REGISTRATION NO. P 40553 | DO NOT WRITE HERE

This Is To Certify that the statements set forth on this certificate have been made a part of the records of the Copyright Office. In witness whereof the seal of the Copyright Office is hereto affixed.

Register of Copyrights
United States of America

1. Copyright Claimant(s) and Address(es):

Name: Paramount Pictures Corporation

Address: 1 Gulf + Western Plaza, New York, New York 10023

Name:

Address:

2. (a) Title: THE GODFATHER
(Give the title of this particular motion picture as it appears on the copies)

(b) Series Title:
(If work is part of a series with a continuing title, give series title)

3. (a) Nature of Work:

X ☐ Photoplay ☐ Motion picture other than a photoplay

(b) Description of Copies: 15,759 feet
(Give running time, footage, or number of reels)

(c) Number of Prints Deposited: (For unpublished works only)

4. Author:

Name: Paramount Pictures Corporation Citizenship: U S A (Name of country)

Domiciled in U.S.A. Yes X No Address: 202 N. Canon Drive Beverly Hills, California 90210

5. (a) Date of Publication:

February 23, 1972
(Month) (Day) (Year)

(b) Place of Publication:

United States
(Name of country)

6. Previous Registration or Publication:

Was work previously registered? Yes ____ No ____ Date of registration ____ Registration number ____

Was work previously published? Yes ____ No ____ Date of publication ____ Registration number ____

Is there any substantial NEW MATTER in this version? Yes ____ No ____ If your answer is "Yes," give a brief general statement of the nature of the NEW MATTER in this version:

EXAMINER

Complete all applicable spaces on next page

7. Deposit account:

Fulton Brylawski

8. Send correspondence to:

Name _____ Address _____

9. Send certificate to:

(Type or print name and address)

Name: Fulton Brylawski

Address: 224 E. Capitol Street
(Number and street)

Washington, D. C. 20003
(City) (State) (ZIP code)

Information concerning copyright in motion pictures

When to Use Form L–M. Form L–M is appropriate for unpublished and published motion pictures.

What Is a "Motion Picture"? The copyright law provides for two classes of motion pictures.

—*Photoplays* (Class L) include motion pictures that are dramatic in character and tell a connected story, such as feature films, filmed television plays, and animated cartoons.

—*Motion Pictures Other Than Photoplays* (Class M) include such films as newsreels, travelogues, promotional films, nature studies, and filmed television programs having no plot.

Unpublished Scenarios. The Copyright Office cannot make registration for an unpublished scenario, synopsis, format, or general description of a motion picture.

No "Blanket" Copyright. The general idea, outline, or title of a motion picture or of a filmed series cannot be copyrighted. Registration for a motion picture covers the copyrightable material in the film, but does not give any sort of "blanket" protection to the characters or situations portrayed, to future films in the series, or to the series as a whole.

Duration of Copyright. Statutory copyright begins on the date the work was first published, or, if the work was registered for copyright in unpublished form, copyright begins on the date of registration. In either case, copyright lasts for 28 years, and may be renewed for a second 28-year term.

Unpublished motion pictures

How to Register a Claim. To obtain copyright registration mail the following material to the Register of Copyrights, Library of Congress, Washington, D.C. 20540: (1) the title of the film; (2) a description (synopsis, press book, continuity, etc.); (3) for photoplays, one print (frame or blow-up) taken from each scene or act, and for other motion pictures, at least two prints taken from different sections of the film; (4) an application on Form L–M; and, (5) a fee of $6.

Procedure to Follow if Work Is Later Published. If the work is later reproduced in copies and published, it is necessary to make a second registration, following the procedure outlined below. To maintain copyright protection, all copies of the published work must contain a copyright notice in the required form and position.

Published motion pictures

What Is "Publication"? Publication, generally, means the sale, placing on sale, or public distribution of copies. In the case of a motion picture, it may also include distribution to film exchanges, film distributors, exhibitors, or broadcasters under a lease or similar arrangement.

How to Secure Copyright in a Published Motion Picture:

1. *Produce copies with the copyright notice.*
2. *Publish the work.*
3. *Register the copyright claim* by sending to the Copyright Office: (1) two complete copies of the best edition of the motion picture; (2) a description (synopsis, press book, continuity, etc.); (3) an application on Form L–M; and, (4) a fee of $6.

The Copyright Notice. In order to secure and maintain copyright protection for a published work, it is essential that all copies published in the United States contain the statutory copyright notice. For motion pictures this notice should appear on or near the title frame, and should consist of the word "Copyright," the abbreviation "Copr.," or the symbol ©, accompanied by the name of the copyright owner and the year date of publication. Example: © John Doe 1971. Use of the symbol © may result in securing copyright in countries which are parties to the Universal Copyright Convention.

NOTE: If copies are published without the required notice, the right to secure copyright is lost and cannot be restored.

Return of Deposit Copies. The deposit copies (i.e., reels) of published motion pictures are subject to retention by the Library of Congress. However, it may be possible to enter into a contract with the Librarian for the return of the copies under certain conditions, and contract forms may be obtained on request. Information regarding the contract may be obtained from the Exchange and Gift Division, Library of Congress, Washington, D.C. 20540.

FOR COPYRIGHT OFFICE USE ONLY

Application received APR 26 1972	Prints received	One copy received
Two copies received 26 APR 1972		
Title and description received APR 26 1972		
Fee received		

U.S. GOVERNMENT PRINTING OFFICE:1970—O-407-239 Jan. 1971—40,000

Certificate

Registration of a Claim to Copyright in a motion picture

FORM L-M

CLASSES	REGISTRATION NO.
L-M	LP 44010
	DO NOT WRITE HERE

This Is To Certify that the statements set forth on this certificate have been made a part of the records of the Copyright Office. In witness whereof the seal of the Copyright Office is hereto affixed.

Barbara Ringer

Register of Copyrights
United States of America

NOT VALID WITHOUT COPYRIGHT OFFICE IMPRESSION SEAL

1. Copyright Claimant(s) and Address(es):

Name Paramount Pictures Corporation and The Coppola Company

Address Paramount (1 Gulf Western Plaza, New York, New York 10023
Coppola Co. (c/o Rosenfeld, Meyer & Susman, 9601 Wilshire Blvd.
Beverly Hills, Calif. 9021C

Name

Address

2. (a) Title: THE GODFATHER PART II
(Give the title of this particular motion picture as it appears on the copies)

(b) Series Title:
(If work is part of a series with a continuing title, give series title)

3. (a) Nature of Work:

X ☐ Photoplay ☐ Motion picture other than a photoplay

(b) Description of Copies: 200 minutes
(Give running time, footage, or number of reels)

(c) Number of Prints Deposited: (For unpublished works only)

4. Author:

Name The Coppola Company Citizenship U S A
(Name of country)

Domiciled in U.S.A. Yes X No Address c/o Rosenfeld, Meyer & Susman 9601 Wilshire Blvd. Beverly Hills, Calif.

5. (a) Date of Publication:

December 9, 1974
(Month) (Day) (Year)

(b) Place of Publication: United States
(Name of country)

6. Previous Registration or Publication:

Was work previously registered? Yes ____ No ____ Date of registration ____ Registration number ____

Was work previously published? Yes ____ No ____ Date of publication ____ Registration number ____

Is there any substantial **NEW MATTER** in this version? Yes ____ No ____ If your answer is "Yes," give a brief general statement of the nature of the **NEW MATTER** in this version:

EXAMINER

Complete all applicable spaces on next page

7. Deposit account:

Fulton Brylawski

8. Send correspondence to:

Name Fulton Brylawski Address

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(Type or print name and address)

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Address: 224 E. Capitol Street
(Number and street)

Washington (City) D. C. (State) 20003 (ZIP code)

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What Is "Publication"? Publication, generally, means the sale, placing on sale, or public distribution of copies. In the case of a motion picture, it may also include distribution to film exchanges, film distributors, exhibitors, or broadcasters under a lease or similar arrangement.

How to Secure Copyright in a Published Motion Picture:

1. *Produce copies with the copyright notice.*
2. *Publish the work.*
3. *Register the copyright claim* by sending to the Copyright Office: (1) two complete copies of the best edition of the motion picture; (2) a description (synopsis, press book, continuity, etc.); (3) an application on Form L–M; and, (4) a fee of $6.

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NOTE: If copies are published without the required notice, the right to secure copyright is lost and cannot be restored.

Return of Deposit Copies. The deposit copies (i.e., reels) of published motion pictures are subject to retention by the Library of Congress. However, it may be possible to enter into a contract with the Librarian for the return of the copies under certain conditions, and contract forms may be obtained on request. Information regarding the contract may be obtained from the Exchange and Gift Division, Library of Congress, Washington, D.C. 20540.

FOR COPYRIGHT OFFICE USE ONLY

Application received: JAN 23 1975	Prints received	One copy received
Two copies received: 8 FEB 1975		
Title and description received: 23 JAN 1975		
Fee received		

U.S. GOVERNMENT PRINTING OFFICE: 1970—O-407-239 Jan. 1971—40,000

CERTIFICATE OF COPYRIGHT REGISTRATION

FORM PA
UNITED STATES COPYRIGHT OFFICE

This certificate, issued under the seal of the Copyright Office in accordance with the provisions of section 410(a) of title 17, United States Code, attests that copyright registration has been made for the work identified below. The information in this certificate has been made a part of the Copyright Office records.

OFFICIAL SEAL

REGISTER OF COPYRIGHTS
United States of America

REGISTRATION NUMBER
PA 509-359

PA PAU

EFFECTIVE DATE OF REGISTRATION
MAR 20 1991
Month Day Year

DO NOT WRITE ABOVE THIS LINE. IF YOU NEED MORE SPACE, USE A SEPARATE CONTINUATION SHEET.

1 TITLE OF THIS WORK ▼
THE GODFATHER, PART III

PREVIOUS OR ALTERNATIVE TITLES ▼

NATURE OF THIS WORK ▼ See instructions
MOTION PICTURE PHOTOPLAY

2 NAME OF AUTHOR ▼
a) PARAMOUNT PICTURES CORPORATION

DATES OF BIRTH AND DEATH
Year Born ▼ Year Died ▼

Was this contribution to the work a "work made for hire"?
☒ Yes ☐ No

AUTHOR'S NATIONALITY OR DOMICILE
Name of Country
OR { Citizen of ▶ U.S.A.
Domiciled in ▶

WAS THIS AUTHOR'S CONTRIBUTION TO THE WORK
Anonymous? ☐ Yes ☒ No
Pseudonymous? ☐ Yes ☒ No
If the answer to either of these questions is "Yes," see detailed instructions.

NOTE

NATURE OF AUTHORSHIP Briefly describe nature of the material created by this author in which copyright is claimed. ▼
ENTIRE WORK - SEE SPACE 6

NAME OF AUTHOR ▼
b)

DATES OF BIRTH AND DEATH
Year Born ▼ Year Died ▼

Was this contribution to the work a "work made for hire"?
☐ Yes ☐ No

AUTHOR'S NATIONALITY OR DOMICILE
Name of Country
OR { Citizen of ▶
Domiciled in ▶

WAS THIS AUTHOR'S CONTRIBUTION TO THE WORK
Anonymous? ☐ Yes ☐ No
Pseudonymous? ☐ Yes ☐ No
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NATURE OF AUTHORSHIP Briefly describe nature of the material created by this author in which copyright is claimed. ▼

NAME OF AUTHOR ▼

DATES OF BIRTH AND DEATH
Year Born ▼ Year Died ▼

Was this contribution to the work a "work made for hire"?
☐ Yes ☐ No

AUTHOR'S NATIONALITY OR DOMICILE
Name of Country
OR { Citizen of ▶
Domiciled in ▶

WAS THIS AUTHOR'S CONTRIBUTION TO THE WORK
Anonymous? ☐ Yes ☐ No
Pseudonymous? ☐ Yes ☐ No
If the answer to either of these questions is "Yes," see detailed instructions.

NATURE OF AUTHORSHIP Briefly describe nature of the material created by this author in which copyright is claimed. ▼

*0359664911 D3596649L

3 a) YEAR IN WHICH CREATION OF THIS WORK WAS COMPLETED This information must be given in all cases.
1990 ◀ Year

b) DATE AND NATION OF FIRST PUBLICATION OF THIS PARTICULAR WORK
Complete this information ONLY if this work has been published.
Month ▶ DECEMBER Day ▶ 10 Year ▶ 1990
U.S.A. ◀ Nation

4 COPYRIGHT CLAIMANT(S) Name and address must be given even if the claimant is the same as the author given in space 2. ▼
PARAMOUNT PICTURES CORPORATION
5555 MELROSE AVENUE
LOS ANGELES, CALIFORNIA 90038-3197

See instructions before completing space

TRANSFER If the claimant(s) named here in space 4 are different from the author(s) named in space 2, give a brief statement of how the claimant(s) obtained ownership of the copyright. ▼

DO NOT WRITE HERE OFFICE USE ONLY

APPLICATION RECEIVED
DEC 28 1990

ONE DEPOSIT RECEIVED
DEC 28 1990 MAR 20 1991

TWO DEPOSITS RECEIVED

REMITTANCE NUMBER AND DATE

MORE ON BACK ▶ • Complete all applicable spaces (numbers 5-9) on the reverse side of this page • See detailed instructions • Sign the form at line 8

DO NOT WRITE HERE
Page 1 of 2 pages

Int. Cl.: 9

Prior U.S. Cls.: 21, 36 and 38

Reg. No. 1,674,068

United States Patent and Trademark Office

Registered Feb. 4, 1992

TRADEMARK
PRINCIPAL REGISTER



PARAMOUNT PICTURES CORPORATION
(DELAWARE CORPORATION)
5555 MELROSE AVENUE
LOS ANGELES, CA 900383197

FOR: ENTERTAINMENT MOTION PICTURE FILMS, PRE-RECORDED ENTERTAINMENT VIDEO CASSETTES AND PRE-RECORDED DISC PHONOGRAPH RECORDS, COMPACT DISCS AND AUDIO CASSETTES FEATURING MUSIC FROM MOTION PICTURE FILMS, IN CLASS 9 (U.S. CLS. 21, 36 AND 38).

FIRST USE 3-15-1972; IN COMMERCE 3-15-1972.

SER. NO. 74-111,525, FILED 11-1-1990.

THOMAS V. SHAW, EXAMINING ATTORNEY

Int. Cl.: 41

Prior U.S. Cl.: 107

Reg. No. 1,707,295

United States Patent and Trademark Office

Registered Aug. 11, 1992

SERVICE MARK
PRINCIPAL REGISTER



PARAMOUNT PICTURES CORPORATION
(DELAWARE CORPORATION)
5555 MELROSE AVENUE
LOS ANGELES, CA 900383197

FOR: ENTERTAINMENT SERVICES IN THE NATURE OF A SERIES OF MOTION PICTURE FILMS, IN CLASS 41 (U.S. CL. 107).

FIRST USE 3-15-1972; IN COMMERCE 3-15-1972.

THE MARK CONSISTS OF THE WORDS "THE GODFATHER" WITH A HAND HOLDING PUPPET STRINGS.

SER. NO. 74-110,978, FILED 10-31-1990.

THOMAS V. SHAW, EXAMINING ATTORNEY

Int. Cl.: 9

Prior U.S. Cls.: 21, 23, 26, 36, and 38

United States Patent and Trademark Office

Reg. No. 3,159,811
Registered Oct. 17, 2006

TRADEMARK
PRINCIPAL REGISTER

THE GODFATHER

PARAMOUNT PCITURES CORPORATION (DELAWARE CORPORATION)
5555 MELROSE AVENUE
HOLLYWOOD, CA 90038

FOR: INTERACTIVE VIDEO GAME PROGRAMS, IN CLASS 9 (U.S. CLS. 21, 23, 26, 36 AND 38).

FIRST USE 3-21-2006; IN COMMERCE 3-21-2006.

THE MARK CONSISTS OF STANDARD CHARACTERS WITHOUT CLAIM TO ANY PARTICULAR FONT, STYLE, SIZE, OR COLOR.

SN 78-325,511, FILED 11-10-2003.

ALICIA COLLINS, EXAMINING ATTORNEY

Int. Cl.: 9

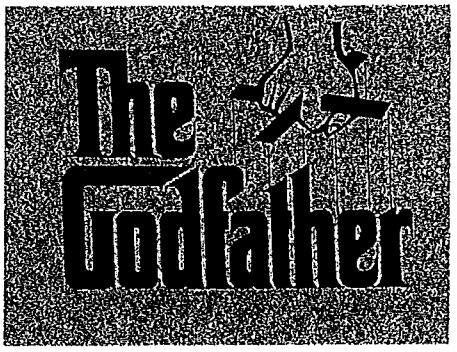
Prior U.S. Cls.: 21, 23, 26, 36, and 38

United States Patent and Trademark Office

Reg. No. 3,159,762

Registered Oct. 17, 2006

TRADEMARK
PRINCIPAL REGISTER



PARAMOUNT PICTURES CORPORATION (DELAWARE CORPORATION)
5555 MELROSE AVENUE
HOLLYWOOD, CA 90038

FOR: INTERACTIVE VIDEO GAME PROGRAMS AND SOFTWARE RECORDED ON CD-ROMS AND DVD-ROMS AND FOR DISC-BASED, CARTRIDGE-BASED AND HAND-HELD CONSOLE PLATFORMS , IN CLASS 9 (U.S. CLS. 21, 23, 26, 36 AND 38).

FIRST USE 3-21-2006; IN COMMERCE 3-21-2006.

THE MARK CONSISTS OF STYLIZED HAND PUPPET DESIGN.

SN 78-279,835, FILED 7-28-2003.

HOWARD B. LEVINE, EXAMINING ATTORNEY

Int. Cl.: 16

Prior U.S. Cls.: 2, 5, 22, 23, 29, 37, 38, and 50

United States Patent and Trademark Office

Reg. No. 3,432,881

Registered May 20, 2008

TRADEMARK

PRINCIPAL REGISTER



PARAMOUNT PICTURES CORPORATION (DELAWARE CORPORATION)

5555 MELROSE AVENUE

HOLLYWOOD, CA 90038

FOR: ART PRINTS, IN CLASS 16 (U.S. CLS. 2, 5, 22, 23, 29, 37, 38 AND 50).

FIRST USE 2-9-2007; IN COMMERCE 2-9-2007.

OWNER OF U.S. REG. NOS. 1,674,068 AND 1,707,295.

THE MARK CONSISTS OF A DEPICTION OF PUPPET HANDS WITH STRINGS HOLDING A PORTION OF THE WORDS "THE GODFATHER".

SN 78-735,262, FILED 10-18-2005.

THEODORE MCBRIDE, EXAMINING ATTORNEY

Int. Cl.: 28

Prior U.S. Cls.: 22, 23, 38, and 50

United States Patent and Trademark Office

Reg. No. 3,432,882

Registered May 20, 2008

TRADEMARK

PRINCIPAL REGISTER



PARAMOUNT PICTURES CORPORATION (DELAWARE CORPORATION)

5555 MELROSE AVENUE

HOLLYWOOD, CA 90038

FOR: ACTION FIGURES, IN CLASS 28 (U.S. CLS. 22, 23, 38 AND 50).

FIRST USE 11-16-2007; IN COMMERCE 11-16-2007.

OWNER OF U.S. REG. NOS. 1,674,068 AND 1,707,295.

THE MARK CONSISTS OF THE DEPICTION OF PUPPET HANDS WITH STRINGS HOLDING PORTIONS OF THE WORDS "THE GODFATHER".

SN 78-735,265, FILED 10-18-2005.

THEODORE MCBRIDE, EXAMINING ATTORNEY

Int. Cl.: 25

Prior U.S. Cls.: 22 and 39

United States Patent and Trademark Office

Reg. No. 3,437,926

Registered May 27, 2008

TRADEMARK
PRINCIPAL REGISTER



PARAMOUNT PICTURES CORPORATION (DELAWARE CORPORATION)

5555 MELROSE AVENUE

HOLLYWOOD, CA 90038

FOR: APPAREL, NAMELY, T-SHIRTS, IN CLASS 25 (U.S. CLS. 22 AND 39).

FIRST USE 7-1-2007; IN COMMERCE 7-1-2007.

OWNER OF U.S. REG. NOS. 1,674,068 AND 1,707,295.

THE MARK CONSISTS OF PUPPET HANDS WITH THE WORDS "THE GODFATHER" DANGLING FROM STRINGS.

SN 78-757,523, FILED 11-18-2005.

THEODORE MCBRIDE, EXAMINING ATTORNEY

Int. Cl.: 6

Prior U.S. Cls.: 2, 12, 13, 14, 23, 25, and 50

United States Patent and Trademark Office

Reg. No. 3,628,838

Registered May 26, 2009

TRADEMARK
PRINCIPAL REGISTER



PARAMOUNT PICTURES CORPORATION (DELAWARE CORPORATION)

5555 MELROSE AVENUE

HOLLYWOOD, CA 90038

FOR: BUSTS, SCULPTURES AND STATUES MADE OF NON-PRECIOUS METAL, IN CLASS 6 (U.S. CLS. 2, 12, 13, 14, 23, 25 AND 50).

FIRST USE 2-1-2009; IN COMMERCE 2-1-2009.

OWNER OF U.S. REG. NOS. 1,674,068 AND 1,707,295.

THE MARK CONSISTS OF A DEPICTION OF PUPPET HANDS WITH STRINGS HOLDING PORTIONS OF THE WORDS "THE GODFATHER".

SN 78-735,257, FILED 10-18-2005.

THEODORE MCBRIDE, EXAMINING ATTORNEY